FORM PTO-1390 (Modified) (REV 10-95) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE **TRANSMITTAL LETTER TO THE UNITED STATES** **DESIGNATED/ELECTED OFFICE (DO/EO/US)** **CONCERNING A FILING UNDER 35 U.S.C. 371**	ATTORNEY'S DOCKET NUMBER **192379US2PCT** *PCt* U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR **09/581809**
INTERNATIONAL APPLICATION NO. **PCT/SE98/02356** INTERNATIONAL FILING DATE **17 DECEMBER 1998**	PRIORITY DATE CLAIMED **19 DECEMBER 1997**

TITLE OF INVENTION
EXTERNAL ROUTING MANAGER

APPLICANT(S) FOR DO/EO/US
Anders BERGSTEN, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))

     a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).

     b. ☒ has been transmitted by the International Bureau.

     c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).

7. ☒ A copy of the International Search Report (PCT/ISA/210).

8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))

     a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).

     b. ☐ have been transmitted by the International Bureau.

     c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.

     d. ☒ have not been made and will not be made.

9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).

11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).

12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

15. ☒ A **FIRST** preliminary amendment.

     A **SECOND** or **SUBSEQUENT** preliminary amendment.

16. ☐ A substitute specification.

17. ☐ A change of power of attorney and/or address letter.

18. ☐ Certificate of Mailing by Express Mail

19. ☒ Other items or information:

> Request for Consideration of Documents Cited in International Search Report
> Notice of Priority
> PCT/IB/304
> PCT/IB/308

    PCTUS1/REV03

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR **09/581809**	INTERNATIONAL APPLICATION NO. PCT/SE98/02356	ATTORNEY'S DOCKET NUMBER 192379US2PCT

20.   The following fees are submitted:.

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

☐ Search Report has been prepared by the EPO or JPO $840.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482)
. . . . $670.00

☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) $760.00

☒ Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2) paid to USPTO $970.00

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) $96.00

CALCULATIONS   PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =	$970.00	
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).	$130.00	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =	0	x $18.00	$0.00	
Independent claims	- 3 =	0	x $78.00	$0.00	
Multiple Dependent Claims **(check if applicable).**			☐	$0.00	
TOTAL OF ABOVE CALCULATIONS =				$1,100.00	

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) **(check if applicable).**	☐	$0.00	
SUBTOTAL =		$1,100.00	

Processing fee of **$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +	$0.00	
TOTAL NATIONAL FEE =	$1,100.00	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable).**	☐	$0.00	
TOTAL FEES ENCLOSED =		$1,100.00	
		Amount to be: refunded	$
		charged	$

☒ A check in the amount of **$1,100.00**   to cover the above fees is enclosed.

☐ Please charge my Deposit Account No.   in the amount of   to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment
to Deposit Account No.   **15-0030**   A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.
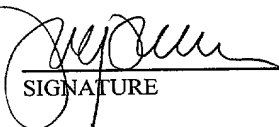
& NEUSTADT, P.C.

22850

703-413-3000

WILLIAM E. BEAUMONT
REGISTRATION NUMBER 30,996

SIGNATURE

Marvin J. Spivak

NAME

24,913

REGISTRATION NUMBER

June 19, 2000

DATE

192379US2 PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :

ANDERS BERGSTEN ET AL   : ATTN: APPLICATION DIVISION

SERIAL NO: NEW APPLICATION   :
         (BASED ON PCT/SE98/02356)

FILED: HEREWITH   :

FOR: EXTERNAL ROUTING MANAGER

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to a first examination on the merits, please amend the above-identified

application as follows:

IN THE SPECIFICATION

Page 1, between lines 1 and 2, insert

--BACKGROUND OF THE INVENTION--;

prenumbered line 9, delete in its entirety and insert therefor

--Discussion of the Background--.

IN THE CLAIMS

Please amend the claims as follows:

Claim 5, line 1, delete "or 4".

Claim 8, line 1, change "any of the patent claims 1 7" to --claim 1--.

Claim 14, line 1, change "any of the patent claims 9-13" to --claim 9--.

IN THE ABSTRACT

Prenumbered line 3, delete in its entirety and insert therefor --A routing manager that makes possible the--;

prenumbered line 5, change "invention" to --routing manager--;

prenumbered line 8, after "The" insert --routing manager--;
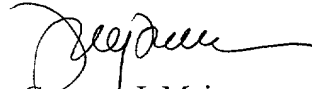
prenumbered line 9, delete "invention".

REMARKS

Favorable consideration of this application, as presently amended, is respectfully requested.

The present preliminary amendment is submitted to place the above-identified application in more proper format under United States practice. By the present preliminary amendment the specification has been amended to include further suggested headings. The claims have been amended to no longer recite any multiple dependencies. The Abstract has also been amended to correct minor informalities.

The present application is believed to be in condition for a full and thorough examination on the merits. An early and favorable consideration of the present application is hereby respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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WILLIAM E. BEAUMONT
REGISTRATION NUMBER 30,996

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TITLE OF THE INVENTION: EXTERNAL ROUTING MANAGER

Field of the invention

5   The present invention relates to a method and a device
for routing of information packets by means of routing
protocol in just any network, preferably the Internet.

Prior art

10   The Internet today utilizes in the main different
distributed routing protocols to manage the routing of
Internet Protocol (IP)-packets. These distributed protocols
contribute to making Internet a robust and scaleable
network.

15   These protocols, however, also have some
disadvantages. It will take comparatively long time to
introduce new routing mechanisms, and the algorithms which
are used to calculate routes are not allowed to be too

20   demanding as far as calculation is concerned. In addition
it is difficult to take into consideration demands from
individual flows as far as the quality of the route is
concerned, and/or calculate routes based on network
information in combination with service information. The

25   aim of the present invention consequently is to remove
these disadvantages.

Summary of the invention

        The above mentioned aim is achieved by a method and a

30   device for routing of information packets by means of
distributed routing protocols in just any network, at which
an External Routing Manager (ERM) is utilized to attain a
plurality of not distributed routing algorithms in said
just any network as a complement to routing algorithms

35   which are utilized by said distributed routing protocol.

This invention has the potential of becoming a key
component in Telia´s future IP (Internet Protocol)-network.
With an ERM it will be possible to use a plurality of route
calculation algorithms in the same network, each one
5 adapted to both optimal network utilization and the demands
on the carrier service by different applications. It also
will be possible to create quite new carrier services, for
instance increased support of mobility. All this
functionality moreover can be adapted, and also be
10 exchanged, any time at the same time as the network all the
time is in operation.

Telia will be able to offer highly worked up IP-based
carrier services if the ERM-concept is introduced in
Telia´s IP-network. These services will both be possible to
15 be tailor-made to specific customers or groups of
customers, and be introduced and adapted very quickly at
changed conditions and needs.

Further characteristics of the present invention are
given in the subclaims.
20

Brief description of the drawing

In the following a detailed description of an
embodiment of the invention is given with reference to
Figure 1.
25 Figure 1 shows the architecture of an ERM according to
the invention.

Detailed description of an embodiment of the invention

In the following the overall functionality of the
30 invention first will be described. After that, the function
and the architecture of the ERM will be described. The
different abbreviations which are mentioned throughout in
the text are explained below:

35 ERM   External Route Manager

ERM$_{ap}$	External Route Manager agent part
ERM$_{rp}$	External Route Manager router part
BRA	Basic Routing Algorithm
RPA	Reference Point nr #
ERA	Explicit Routing Algorithm

The invention intends to make possible the utilization of routing algorithms as a complement to the distributed routing protocols of today. The invention also allows these completing algorithms to be considerably more demanding regarding calculations, and facilitates the use of service information at route calculations.

The invention includes a definition of which functionality that is required to make possible simultaneous use of more than one route calculation algorithm, without risking long-lasting, or even permanent, routing loops.

The invention makes possible use of not distributed routing algorithms as a complement to the algorithms which are used by distributed routing protocols. This means that explicit routes are set up through the network which replace the route which is determined by a distributed protocol. To allow this, a check should made whether the new route is free from loops.

This new route is maintained until it is no longer needed, or until it is no longer valid due to that some kind of error has occurred. Such errors can be link errors, errors on any of the computers which are involved in maintaining the new route and/or routers which are included in this route.

When the new route no longer shall be used, concerned traffic returns to following the route which the

distributed routing protocol has selected. At this return
it is important to secure that no routing loops will arise.
If routing loops yet should arise, these should be possible
to detect and break.

5   The basic functionality which is required to make
possible simultaneous use of more than one route
calculation algorithm can be collected in two functional
groups, free and independent of which algorithms that are
used to calculate explicit routes. We call these functional
10   groups External Route Manager agent part, and router part
(ERMap and ERMrp). When we refer to ERM, these two
functional groups are referred to together.

       The physical location of the ERM-functionality differs
between ERMap and ERMrp. Figure 1 shows the possible
15   physical location of the functional groups and which
reference points that exist between them. The exact
definition of the functions and the specifications of
respective reference point, however, is not dealt with here
as this invention relates to the conceptual design of the
20   architecture.

       ERMap should be localized in the same machine that has
functions (ERA in Figure 1) to calculate explicit routes.
This machine can be a router or a separate computer
connected to the network where the explicit routes shall be
25   used. The following functions are included in ERMap:

• check of that suggested explicit routes are free from
loops.

30 • check whether potential loops may arise and, if so,
identification of where such loops can arise, and

• attend to that potential loops do not occur.

35   ERMap interacts with ERMrp (RP2 in Figure 1) to
establish and maintain explicit routes and prevent

emergence of loops by specifying methods at errors which
can cause loops. ERMrp shall be localized in all routers
which are involved in the explicit routes which are
established via ERM. The following functions are included
in ERMrp:

- detection of error after established explicit
  routes, and

- measure to prevent or break loops.

ERMrp interacts with traffic-control functionality in
the router (RP3) to establish and maintain explicit routes.
ERMrp also shall have information from the traffic control
(RP3), or from the distributed route calculation function
(RP4) about errors, if any. At such errors ERMrp shall take
measures to prevent loops, and inform ERMap about executed
measures.

ERMrp also receives information from the distributed
route calculation function (RP4) about current network
topology and, possibly, network status. ERMrp forwards this
information to ERMap which utilizes it to execute the above
mentioned functions. This information is distributed in the
network by a distributed routing protocol of "link state"-
type, for instance OSPF, IS-IS etc.

ERA can when a new route is suggested (RP1) also
suggest alternative routes. These can be used by ERMap to
prepare a more rapid change to such an alternative route
in case of error along the route which is used. This can be
prepared by state being established in concerned ERMrp or

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by ERMap quite simply being prepared to distribute the
alternative route to concerned ERMrp.

RP4 in Figure 1 is today usually used in a router to
establish routes calculated by some distributed algorithm.

5   To sum up, it can be said that the invention differs
from previously known technology chiefly by the managing of
loops being separated from the route calculation
algorithms. This results in that new algorithms can be
developed quicker. The invention also makes it possible to
10   return to the original route calculation algorithm if an
error occurs. The concept and the mechanism for the
managing of loops, and the return to the original
algorithm, constitutes, as far as we know, new technology
which is not previously known.

15   The above mentioned is only to be regarded as an
advantageous embodiment, and the extent of protection of
the invention is only defined in what is indicated in the
enclosed patent claims.

PATENT CLAIMS

1. Method for routing of information packets by means
of distributed routing protocols in just any network,
c h a r a c t e r i z e d in that an External Route Manager
(ERM) is utilized to effect a plurality of not distributed
routing algorithms in said just any network as a complement
to routing algorithms which are utilized by said
distributed routing protocol.

2. Method according to patent claim 1,
c h a r a c t e r i z e d in that said ERM establishes at
least one explicit route in said just any network which
replaces the route which is determined by said distributed
routing protocol.

3. Method according to patent claim 2,
c h a r a c t e r i z e d in that said explicit route is
upheld until it is no longer needed, or until it is no
longer valid due to that an error has occurred.

4. Method according to patent claim 3,
c h a r a c t e r i z e d in that said error is link error,
error in computers, routers which uphold said explicit
route.

5. Method according to patent claim 3 or 4,
c h a r a c t e r i z e d in that when said explicit route
no longer shall be used, concerned traffic reverts to
following the route which said distributed routing protocol
has selected.

6. Method according to patent claim 5,
c h a r a c t e r i z e d in that when traffic reverts to
following the route which said distributed routing protocol

has selected, is secured that no routing loops arises, at which, if routing loops yet arise, they are detected and broken.

7. Method according to patent claim 6, c h a r a c t e r i z e d in that said ERM operates according to the steps to:

- check that suggested explicit route is free from loops;

- check whether potential loops may arise and identify where such loops may arise;

- prevent the emergence of potential loops;

- detect errors along established, explicit route;

- break arisen loop.

8. Method according to any of the patent claims 1-7, c h a r a c t e r i z e d in that said just any network is an IP-network.

9. Device for routing of information packets by means of distributed routing protocols in just any network, c h a r a c t e r i z e d in that an External Route Manager (ERM) is arranged to effect a plurality of not distributed routing algorithms in said just any network as a complement to routing algorithms which are utilized by said distributed routing protocol.

10. Device according to patent claim 9, c h a r a c t e r i z e d in that said ERM includes an ERMap and an ERMrp, at which said ERMap interacts with said ERMrp to establish and uphold explicit routes and prevent the emergence of loops by specifying measures at errors which can cause loops.

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11. Device according to patent claim 10, c h a r a c t e r i z e d in that said ERMap is arranged in the machine which has functions to calculate explicit routes, and said ERMrp is arranged in all routers which are involved in the explicit routes which are established via said ERM.

12. Device according to patent claim 11, c h a r a c t e r i z e d in that said ERMap is arranged to check that suggested explicit routes are free from lopes, to check whether potential loops may arise, and identify where such loops may arise, to prevent the emergence of potential loops.

13. Device according to patent claim 12, c h a r a c t e r i z e d in that said ERMrp is arranged to detect errors along established explicit routes and prevent or break loops.

14. Device according to any of the patent claims 9-13, c h a r a c t e r i z e d in that said just any network is an IP-network.
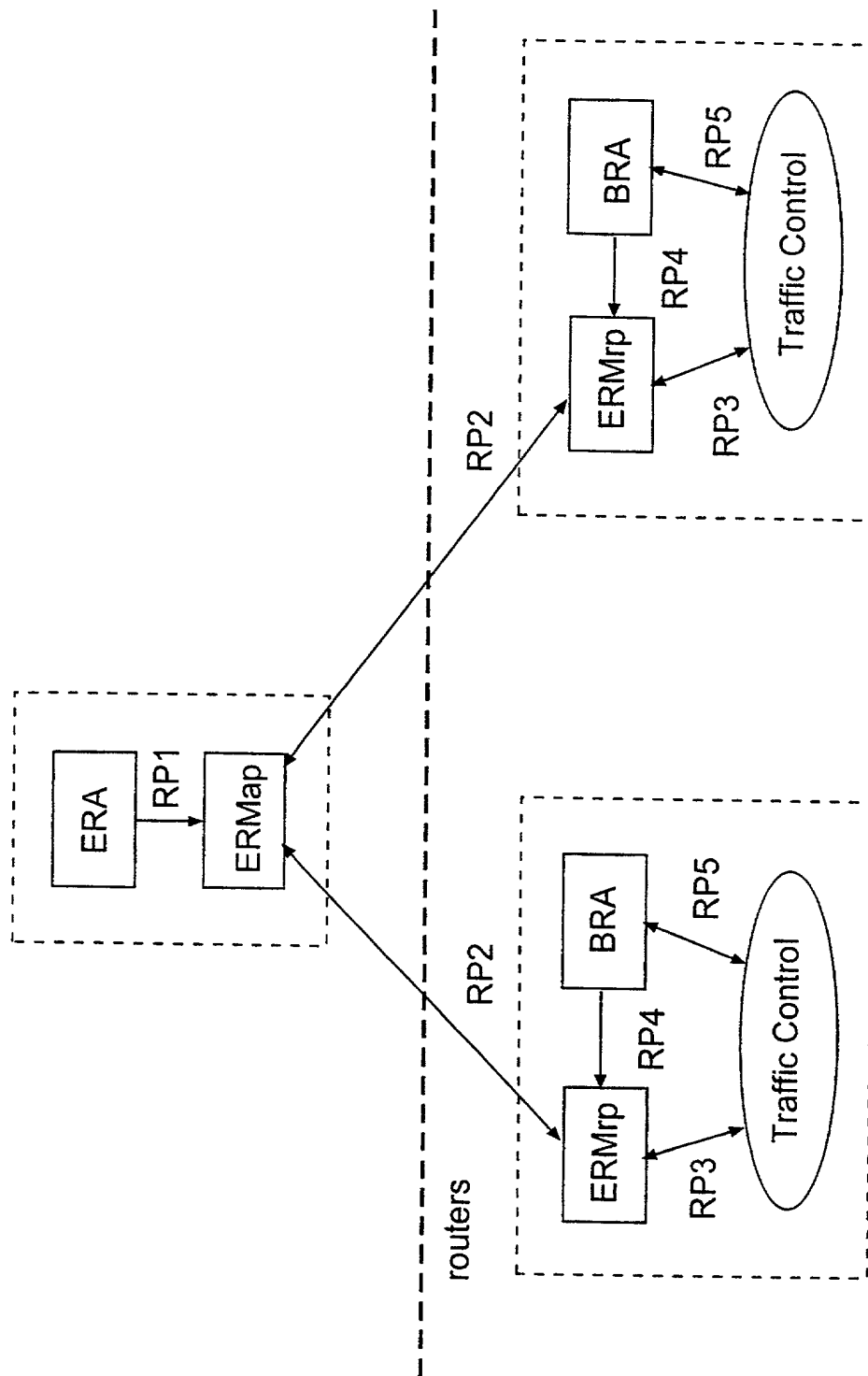
Figure 1

Declaration, Power Of Attorney and Petition

WE (I) the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

EXTERNAL ROUTING MANAGER

the specification of which

□ is attached hereto.

☒ was filed on ___June 19, 2000___ as

Application Serial No. _____

and amended on _____.

☒ was filed as PCT international application

Number ___PCT/SE98/02356___

on ___17 December 1998___,

and was amended under PCT Article 19

on _____ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed	
9704772-4	SWEDEN	19 December 1997	☒ Yes	□ No
			□ Yes	□ No
			□ Yes	□ No
			□ Yes	□ No

We (I) hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status (pending, patented, abandoned)
PCT/SE98/02356	17 December 1998	

And we (I) hereby appoint: Norman F. Oblon, Reg. No. 24,618; Marvin J. Spivak, Reg. No. 24,913; C. Irvin McClelland, Reg. No. 21,124; Gregory J. Maier, Reg. No. 25,599; Arthur I. Neustadt, Reg. No. 24,854; Richard D. Kelly, Reg. No. 27,757; James D. Hamilton, Reg. No. 28,421; Eckhard H. Kuesters, Reg. No. 28,870; Robert T. Pous, Reg. No. 29,099; Charles L. Gholz, Reg. No. 26,395; William E. Beaumont, Reg. No. 30,996; Jean-Paul Lavalleye, Reg. No. 31,451; Stephen G. Baxter, Reg. No. 32,884; Richard L. Treanor, Reg. No. 36,379; Steven P. Weihrouch, Reg. No. 32,829; John T. Goolkasian, Reg. No. 26,142; Richard L. Chinn, Reg. No. 34,305; Steven E. Lipman, Reg. No. 30,011; Carl E. Schlier, Reg. No. 34,426; James J. Kulbaski, Reg. No. 34,648; Richard A. Neifeld, Reg. No. 35,299; J. Derek Mason, Reg. No. 35,270; Surinder Sachar, Reg. No. 34,423; Christina M. Gadiano, Reg. No. 37,628; Jeffrey B. McIntyre, Reg. No. 36,867; William T. Enos, Reg. No. 33,128; Michael E. McCabe, Jr., Reg. No. 37,182; Bradley D. Lytle, Reg. No. 40,073; and Michael R. Casey, Reg. No. 40,294; our (my) attorneys, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to the firm of OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C., whose Post Office Address is: Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Signature of Inventor

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Anders LARSSON
NAME OF FIFTH JOINT INVENTOR

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Signature of Inventor

2000-08-07
Date

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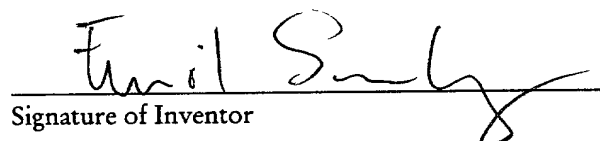S-977 53 Lulea SWEDEN SEX

Citizen of: SWEDEN

Post Office Address: same as above

1/96

Bjorn NORDGREN

NAME OF SIXTH JOINT INVENTOR

Signature of Inventor

Date 2000 - 09 - 04

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Citizen of: SWEDEN

Post Office Address: same as above

Emil SVANBERG

NAME OF SEVENTH JOINT INVENTOR

Signature of Inventor

Date 2000 - 08 - 01

Residence: Docentvagen 20,

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Citizen of: SWEDEN

Post Office Address: same as above

NAME OF EIGHTH JOINT INVENTOR

Signature of Inventor

Date

Residence:

Citizen of:

Post Office Address:

NAME OF NINTH JOINT INVENTOR

Signature of Inventor

Date

Residence:

Citizen of:

Post Office Address: